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**EDF ENERGY SERVICES**

4700 West Sam Houston Parkway North  
Suite 250  
Houston, Texas 77041

T+ 1 281 781 0333

APRIL 26, 2018

VIA OVERNIGHT MAIL

Executive Director  
NHPUC  
21 South Fruit St., Suite 10  
Concord, NH 03301

Re: EDF Energy Services, LLC's CEPS Registration Renewal

Dear Executive Director:

EDF Energy Services, LLC ("EDF") is an approved competitive energy supplier in New Hampshire. EDF is submitting its renewal application for 2018. Enclosed are an original and two copies of the renewal application. Additionally an electronic version has been sent to [executive.director@puc.nh.gov](mailto:executive.director@puc.nh.gov).

Please contact the undersigned if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Emily Black Huynh", written in a cursive style.

**Emily Black Huynh, Regulatory Affairs**  
**EDF Energy Services, LLC**  
**EDF Trading North America, LLC**  
**EDF Industrial Power Services (CA), LLC**

[Emily.Black.huynh@edfenergyservices.com](mailto:Emily.Black.huynh@edfenergyservices.com)  
Office (281) 921-9781  
Cell (225) 773-2766  
[www.edfenergyservices.com](http://www.edfenergyservices.com)



## Competitive Electric Power Supplier Application Form

This form may be used to: (1) apply for initial registration as a competitive electric power supplier (CEPS) in New Hampshire, (2) apply for renewal of registration as a CEPS in New Hampshire, and (3) notify the Commission of any changes to information in a previously filed CEPS application. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.01 when applying for initial or renewal registration as a CEPS, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>											
<b>Applicant's General Information</b>											
Puc 2006.01(a)	<table border="1"> <tr> <td>Legal Name</td> <td>EDF Energy Services, LLC</td> </tr> <tr> <td>Trade Name (d/b/a) (if applicable)</td> <td></td> </tr> </table>	Legal Name	EDF Energy Services, LLC	Trade Name (d/b/a) (if applicable)							
Legal Name	EDF Energy Services, LLC										
Trade Name (d/b/a) (if applicable)											
Puc 2006.01(b)	<table border="1"> <tr> <td>Business Mailing Address</td> <td>4700 W. Sam Houston Pkwy N, Suite 250 Houston, TX 77041</td> </tr> <tr> <td>Telephone Number</td> <td>877-432-4530</td> </tr> <tr> <td>E-Mail Address</td> <td></td> </tr> <tr> <td>Website Address</td> <td>www.edfenergyservices.com</td> </tr> </table>	Business Mailing Address	4700 W. Sam Houston Pkwy N, Suite 250 Houston, TX 77041	Telephone Number	877-432-4530	E-Mail Address		Website Address	www.edfenergyservices.com		
Business Mailing Address	4700 W. Sam Houston Pkwy N, Suite 250 Houston, TX 77041										
Telephone Number	877-432-4530										
E-Mail Address											
Website Address	www.edfenergyservices.com										
Puc 2006.01(c)	Provide the state or jurisdiction of organization, if anything other than an individual. DE										
Puc 2006.01(d)	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) <sup>1</sup> if it is anything other than an individual. Use additional sheets as needed.										
	<table border="1"> <tr> <td>Name</td> <td>John Rittenhouse</td> </tr> <tr> <td>Title</td> <td>CEO</td> </tr> <tr> <td>Business Mailing Address</td> <td>4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041</td> </tr> <tr> <td>Telephone Number</td> <td>281-781-0333</td> </tr> <tr> <td>E-Mail Address</td> <td>john.rittenhouse@edfrading.com</td> </tr> </table>	Name	John Rittenhouse	Title	CEO	Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041	Telephone Number	281-781-0333	E-Mail Address	john.rittenhouse@edfrading.com
Name	John Rittenhouse										
Title	CEO										
Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041										
Telephone Number	281-781-0333										
E-Mail Address	john.rittenhouse@edfrading.com										
	<table border="1"> <tr> <td>Name</td> <td>Mary Anne Breilinsky</td> </tr> <tr> <td>Title</td> <td>President</td> </tr> <tr> <td>Business Mailing Address</td> <td>4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041</td> </tr> <tr> <td>Telephone Number</td> <td>281-781-0333</td> </tr> <tr> <td>Email Address</td> <td>Maryanne.breilinsky@edfenergyservices.com</td> </tr> </table>	Name	Mary Anne Breilinsky	Title	President	Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041	Telephone Number	281-781-0333	Email Address	Maryanne.breilinsky@edfenergyservices.com
Name	Mary Anne Breilinsky										
Title	President										
Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041										
Telephone Number	281-781-0333										
Email Address	Maryanne.breilinsky@edfenergyservices.com										
	<table border="1"> <tr> <td>Name</td> <td>C. Alexis Keene</td> </tr> <tr> <td>Title</td> <td>SVP &amp; General Counsel</td> </tr> <tr> <td>Business Mailing Address</td> <td>4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041</td> </tr> <tr> <td>Telephone Number</td> <td>281-781-0333</td> </tr> <tr> <td>E-Mail Address</td> <td>alexis.keene@edfenergyservices.com</td> </tr> </table>	Name	C. Alexis Keene	Title	SVP & General Counsel	Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041	Telephone Number	281-781-0333	E-Mail Address	alexis.keene@edfenergyservices.com
Name	C. Alexis Keene										
Title	SVP & General Counsel										
Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041										
Telephone Number	281-781-0333										
E-Mail Address	alexis.keene@edfenergyservices.com										

<sup>1</sup> "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Affiliates and Subsidiaries		
Puc 2006 01(a)	Provide the following information regarding any affiliates <sup>2</sup> and subsidiaries of the applicant that are conducting business in New Hampshire. Use additional sheets as needed.	
	Name of Entity	N/A
	Business Address	
	Telephone Number	- -
	Provide a description of the business purpose of the entity.	
	Provide a description of any agreements with any affiliated New Hampshire utility, and the docket number relative to the filing of any such agreements with the Commission.	
	Name of Entity	
	Business Address	
Telephone Number	- -	
Provide a description of the business purpose of the entity.		
Provide a description of any agreements with any affiliated New Hampshire utility, and the docket number relative to the filing of any such agreements with the Commission.		

<sup>2</sup> "Affiliate" means any of the following:

- (a) Any person or entity that directly or indirectly owns, controls, or holds with power to vote a majority of the outstanding voting securities or such minority thereof as to give such person substantial control of another person or entity;
- (b) Any person or entity that is directly or indirectly owned, controlled, or held by any person or entity described in (a) above through either power to vote a majority of the outstanding voting securities or such a minority so as to maintain substantial control of such person or entity;
- (c) Any person or entity with which another person or entity has a management or service contract or arrangement that provides such person or entity with effective control over the management, supervision, or operation of the other person or entity; or
- (d) Any person or entity who or which actually exercises effective control over the management, supervision, or operation of another person or entity.



Customer Service Department Contact		
Puc 2006.01(f)	Name	Cherie Fuller
	Title	Vice President
	Toll-Free Telephone Number (if available)	877-432-4530
	Telephone Number	281-653-1778
	E-Mail Address	cherie.fuller@edfenergyservices.com

Customer Complaints Contact		
Puc 2006.01(g)(1)	Name	Cherie Fuller
	Title	VICE PRESIDENT
	Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041
	Telephone Number	281-653-1778
	E-Mail Address	CHERIE.FULLER@EDFENERGYSERVICES.COM

Regulatory Compliance Matters Contact		
Puc 2006.01(g)(2)	Name	Emily Black Huynh
	Title	Regulatory Affairs Analyst
	Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041
	Telephone Number	281-921-9781
	E-Mail Address	emily.black.huynh@edfenergyservices.com

Commission Assessment Payments Contact		
Puc 2006.01(g)(3)	Name	Emily Black Huynh
	Title	REGULATORY AFFAIRS ANALYST
	Business Mailing Address	4700 W. SAM HOUSTON PKWY N, SUITE 250 HOUSTON, TX 77041
	Telephone Number	281-921-9781
	E-Mail Address	EMILY.BLACK.HUYNH@EDFENERGYSERVICES.COM



<b>Separate Attachments: Business Authority, Trade Name, ISO-NE Market Participation, and EDI Certification</b>	
Puc 2006.01(h)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following:  (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or  (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.01(i)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following: (1) A recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) A copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.
Puc 2006.01(j)	Provide, as a separate attachment, evidence of the applicant's ISO New England market participant membership.
Puc 2006.01(k)	Provide, as a separate attachment, electronic data interchange (EDI) certification from each electric distribution utility in whose franchise area the applicant intends to operate.

<b>Franchise Areas, Customer Types to be Served, and Other States</b>	
Puc 2006.01(l)	List the utility franchise areas in which the applicant intends to operate and, to the extent the applicant does not intend to provide service in the entire franchise area of a utility, a delineation of the cities and towns where the applicant intends to provide service within each utility franchise area.  EVERSOURCE-NH - RATES GV, LG LIBERTY UTILITIES - RATES G1, G2 UNITIL - RATES G1, G2
Puc 2006.01(m)	Provide a statement whether or not the applicant intends to serve the following types of customers: residential, small commercial, large commercial, and industrial.  EDF ENERGY SERVICES INTENDS TO SERVE LARGE COMMERCIAL AND INDUSTRIAL CUSTOMERS
Puc 2006.01(n)	List the other states or jurisdictions in which the applicant currently conducts business relating to the sale of electricity.  CA, CT, DE, DC, IL, ME, MD, MA, MI, NH, NJ, NY, OH, OR, PA, RI, TX



### Customer Complaints

Puc  
2006 01(c)

Use either the table below or a separate attachment to provide a list disclosing the number and type of customer complaints concerning the applicant or its principals and affiliates filed with or by any commission or regulatory agency, attorney general's office, or other governmental consumer protection regulatory authority, for the most recent 2 calendar years in every state or other jurisdiction in which the applicant has conducted business relating to the sale of electricity.

In the table below, enter abbreviations of applicable states or the jurisdiction across the top row, complaint types in the left column, and, for each cell in the table, the number of complaints for each type within the applicable state or jurisdiction.

(enter applicable states/jurisdictions in row just below)											
Complaint Type											Total
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
Total	0	0	0	0	0	0	0	0	0	0	0



Statements Regarding Applicant and its Principals		
Respond to each of the following questions with either "Yes" or "No."		
Puc 2006.01(p)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	NO
Puc 2006.01(p)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	NO
Puc 2006.01(p)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	NO
Puc 2006.01(p)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	NO
Puc 2006.01(p)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	NO
Puc 2006.01(q)	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.  N/A	

Telemarketing		
Puc 2006.01(s)	Does the applicant intend to telemarket? Respond with either "Yes" or "No."	NO
If the response to the question above is "Yes," then respond to the following three questions:		
Puc 2006.01(r)(1)	Will the applicant maintain a list of customers who request being placed on the applicant's do-no-call list for the purposes of telemarketing?	
Puc 2006.01(r)(2)	Will the applicant obtain monthly updated do-no-call lists from the National Do Not Call Registry?	
Puc 2006.01(r)(3)	Will the applicant NOT initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or who are listed on the National Do Not Call Registry?	

In-Person Solicitation of Residential Customers		
Puc 2006.01(u)	Does the applicant intend to enroll residential customers through in-person solicitation at the customer's residence? Respond with either "Yes" or "No."	NO
If the response to the question above is "Yes," then provide the following items as separate attachments:		
Puc 2006.01(l)(1)	A plan for in-person solicitation of residential customers at their residences, including provisions to ensure legal and regulatory compliance and quality assurance.	
Puc 2006.01(l)(2)	A description of the applicant's training program for employees or representatives who will conduct in-person solicitation of residential customers at their residences.	
Puc 2006.01(l)(3)	An identification of any third party vendor or vendors the applicant intends to use to conduct in-person solicitation of residential customers at their residences.	
Puc 2006.01(l)(4)	A copy of the applicant's performance standards and code of conduct for any employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences.	
Puc 2006.01(l)(5)	A copy of field audit standards used to evaluate the performance of employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences.	



Sample Bill Form		
Puc 2006.01(v)	Does the applicant intend to use only the utility's billing service? Respond with either "Yes" or "No."	YES
Puc 2006.01(v)	If the response to the question above is "No," then provide a sample of the bill form(s) the applicant intends to use as a separate attachment.	

Residential and Small Commercial Customer Contracts		
Puc 2006.01(w)	Does the applicant intend to serve residential and small commercial customers? Respond with either "Yes" or "No."	NO
If the response to the question above is "Yes," then provide the following item as a separate attachment:		
Puc 2006.01(w)	A copy of each contract to be used for residential customers and for small commercial customers, including any schedules or other documentation attached to, incorporated into, or referenced in such contract.	

File Financial Security Instrument		
Refer to Puc 2003.03 for the financial security requirements.		
Puc 2003.01(b)(2)	Provide an original executed financial security instrument that meets the requirements of Puc 2003.03.	
File the original, executed financial security instrument with the Executive Director. The financial security instrument can be filed separately from the application form, by U.S. mail, overnight express, or hand delivery.		

Submit Application Fee (For Initial Applications Only)		
Puc 2003.01(b)(3)	For an application for initial registration, submit payment of the application fee in the amount of \$250.00 to the Executive Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire Public Utilities Commission.	
Note that there is no fee for a renewal application.		

Expected Marketing Start Date		
Puc 2006.01(x)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	<u>n/a</u> Date

Attestation and Signature		
Puc 2006.01(y) and (z)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE CEPS AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.  <u>C. A. Keene.</u> Signature of the applicant or its authorized representative  Name: <u>C. Alexis Keene</u>  Title: <u>SVP + General Counsel</u>	<u>4/24</u> Date

Filing Instructions		
1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301		
2) E-mail a PDF of this form and all separate attachments to: <a href="mailto:Executive.Director@puc.nh.gov">Executive.Director@puc.nh.gov</a>		



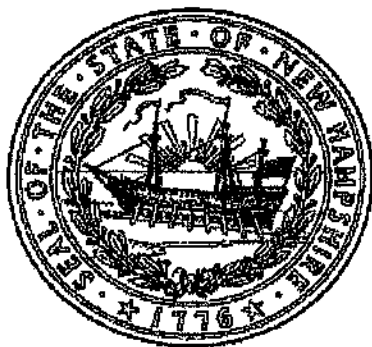
**Attachment 1**  
**Proof of Registration with Secretary of State**

**State of New Hampshire**  
**Department of State**

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that EDF ENERGY SERVICES, LLC is a Delaware Limited Liability Company registered to transact business in New Hampshire on December 17, 2015. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 736185



IN TESTIMONY WHEREOF,

I have to set my hand and cause to be affixed  
the Seal of the State of New Hampshire,  
this 25th day of April A.D. 2017.

A handwritten signature in black ink, appearing to read "Wm Gardner".

William M. Gardner  
Secretary of State

[Participate](#) > [Participant and Asset Listings](#)

## Customer Directory

Search the directory for details on and contact information for the entities registered with ISO New England, as well as on the committees and subgroups advising the ISO. For example, you can find:

- Each customer's name, address, stock symbol(s), industry sector, industry type/classification, committee membership, and NEPOOL voting status (if the customer is a member of the New England Power Pool)
- Committee and subcommittee or working group names, member lists, and the company association of members
- Download a CSV file of the Customer Directory

As you type you will be offered suggested results. Use your keyboard arrows or mouse to navigate the results.

### Company Details: EDF Energy Services, LLC

10850 Richmond Ave  
Suite 120  
Houston , TX 77042

#### Customer Details

CUSTOMER ID	SECTOR	TYPE	CLASSIFICATION	SUB-CLASSIFICATION	VOTING STATUS
126859	Supplier	Participant	Market Participant		N

#### Committee Members

COMMITTEE NAME	MEMBER NAME	TITLE	POSITION	ROLE
Participant Contact	Tony Mowbray	Sr. Power Trader	Member	Alternate
Participant Contact	Chris Armitage	SVP Energy Optimization	Member	Member

#### Participant Related Persons

A Participant and its Related Persons (as defined in the Participants Agreement and Second Restated NEPOOL Agreement) are together entitled to join any one Sector and to have one vote in that Sector.

Votes for this company are cast by:

---

EDF Trading North America, LLC

This company also votes on behalf of:

None

#### Updating the Directory

Help keep the directory current — its accuracy is dependent on data in the ISO's Customer and Asset Management System (CAMS). To update data for your organization or committees, see:

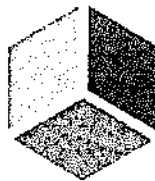
- User guides for CAMS
- CAMS FAQs

#### Problems?

Contact Customer Support if you're having trouble with the directory.

APPLICATIONS  
GADS Reporting  
IRTT  
ISO Express

MARKETS AND  
OPERATIONS  
FORECASTING  
Morning Report  
Seven-Day Forecast  
Three-Day Forecast  
Power System Status



ABOUT US  
News and Media  
Careers  
Legal and Privacy

CUSTOMER  
SUPPORT  
Contact Us  
Training

Copyright ©2017 ISO New England Inc.

**Public Service Company of New Hampshire  
(d/b/a) Eversource Energy**

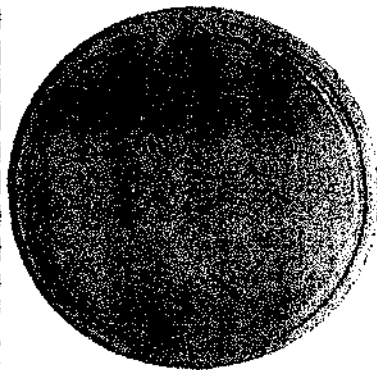
**Certificate of Completion**

*is hereby granted to:*

**EDF Energy Services, LLC**

*to certify that they have completed to satisfaction*

**NH EDI Connectivity and Certification Testing**



*Granted: 05/25/16*

*Aaron Downing*

*Aaron Downing  
Eversource Supplier Services*

**Public Service Company of New Hampshire  
(d/b/a) Eversource Energy**

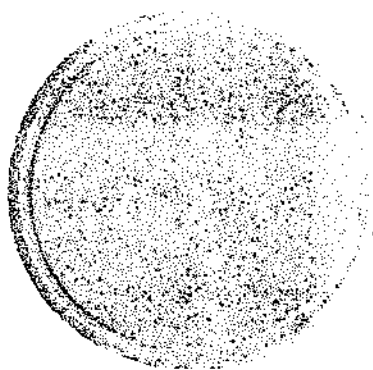
**Certificate of Completion**

*is hereby granted to:*

**EDF Energy Services, LLC**

*to certify that they have completed to satisfaction*

**NH Supplier Training**



*Granted: 04/01/16*

*Aaron Downing*

Aaron Downing  
Eversource Supplier Services



# COMPLETION OF EDI TEST

This is to certify that on March 17<sup>th</sup>, 2016

**EDF Energy Services, LLC.**

completed all of the requirements of New Hampshire  
Code of Administrative Rules, Section PUC 2003.01(d)

---

Deborah M. Gilbertson, Manager of Retail Choice  
Liberty Utilities (Granite State Electric) Corp.  
15 Buttrick Rd, Londonderry NH 03053



Unitil Energy Systems, Inc.

**Electronic Data Interchange (EDI) Certification**

***Unitil Energy Systems (UES)***

Issued to: EDF Energy Services, LLC  
Represented by: Cherie Fuller

Issued by: Unitil Energy Systems  
Represented by: Caitlin D. Chaput, Associate Transaction Analyst

Date: 3/25/16


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This is official notification of the successful completion of Electric EDI testing between Unitil Energy Systems and EDF Energy Services, LLC. As of 3/25/16, Unitil Energy Systems does hereby declare EDF Energy Services as a certified EDI trading partner capable of exchanging the following transactions:

810	Invoice
814	Change
814	Drop
814	Enrollment
814	Historical Usage Request
820	Payment Notification
867	Historical Usage
867	Monthly Usage
997	Functional Acknowledgement

EDF Energy Services has successfully satisfied all the requirements of connectivity with Unitil Energy Systems. EDF Energy Services has also proven through detailed transaction testing its understanding of the business rules and EDI formats required for account maintenance, and billing (dual and LDC rate-ready consolidated) as described by the New Hampshire Public Utilities Commission and using V12 version 4010 standards.

---

  
Signature  
3/25/16  
Date

Caitlin D. Chaput  
Associate Transaction Analyst  
Unitil Service Corp.  
6 Liberty Lane West  
Hampton, NH 03842-1720  
EL\_SupplierServices@unitil.com

Financial Security Instrument (per PUC 2003.01(b)(2))

The LC EDF Energy Services currently has on file with the New Hampshire Public Utilities Commission automatically renews annually per the statement below included on the LC.

3. IT IS A CONDITION OF THIS CREDIT THAT IT SHALL BE DEEMED AUTOMATICALLY EXTENDED WITHOUT AMENDMENT FOR ONE YEAR PERIODS FROM THE EXPIRY DATE HEREOF, OR ANY FUTURE EXPIRATION DATE, UNLESS AT LEAST THIRTY (30) CALENDAR DAYS PRIOR TO ANY EXPIRATION DATE WE SHALL NOTIFY YOU BY REGISTERED MAIL/COURIER THAT WE ELECT NOT TO CONSIDER THIS CREDIT EXTENDED FOR ANY SUCH ADDITIONAL PERIOD.